ľ		UTILITY PATENT APPLICATION TRANSMITTAL y for new nonprovisional applications under 37 CFR 1 53(b))	Attorney Docket No.	198334US2
			First Inventor or Applicatio	on Identifier Kazuhisa KASHIHARA
j	(Only		Title ARRAYED WAY	VEGUIDE GRATING TYPE OPTICAL
	<u> </u>			URUKAWA ELECTRIC CO., LTD. , Marunouchi 2-chome, Chiyoda-ku, Tokyo 100-8322 JAPAN
	J:08,0	APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent	TS application contents	Assistant Commissioner for Patents ADDRESS TO: Box Patent Application Washington, DC 20231
	<u>3</u>	Fee Transmittal Form (e.g. PTO/SB, (Submit an original and a duplicate for fee pr	/17) rocessing)	ACCOMPANYING APPLICATION PARTS
	. P	■ Specification Total	Sheets 35	7. □ Assignment Papers (cover sheet & document(s)) 8. □ Application Data Sheet. See 37 CFR 1.76
	TO 3	■ Formal Drawing(s) (35 U.S.C. 113)	22	9. 37 C.F.R. §3.73(b) Statement Power of Attorney
	3.	Total Sheets		10. □ English Translation Document (if applicable) 11. □ Information Disclosure Statement (IDS)/PTO-1449 □ Copies of IDS Citations
	4.		l Pages	12. □ Preliminary Amendment
ļ		a. Newly executed (original or cop Copy from a prior application (3)		13. White Advance Serial No. Postcard Certified Copy of Priority Document(s)
		b. □ Copy from a prior application (3 (for continuation / divisional w/ box i. □ DELETION OF INVENTO		14. □ Certified Copy of Priority Document(s) (if foreign priority is claimed)
		Signed statement attached dele the prior application, see 37 C.F 1.33(b).		15. □ Applicant claims small entity status. See 37 CFR 1.27
	5.	☐ CD-ROM or CD-R in duplicate, large Program (Appendix)	e table or Computer	16. ■ Other: Request for Priority
***	6.	□ Nucleotide and/or Amino Acid Seque (if applicable, all necessary)		List of Inventor's Name
13	 a. Computer Readable Form (CRF) b. Specification or Sequence Listing on: 		•	7 ==
1100		i. CD-ROM or CD-R (2 copi		
81		ii. Paper		
in da		c. Statements verifying identity of	above copies	
na n	16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:			
in in it	☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:			
## ### \$*# \$*#	Prior application information: Examiner: Group Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is			
	For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
	17. Amend the specification by inserting before the first line the sentence:			
		☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of application Serial No. Filed on		
		of application Serial No. Which was published in English	riieu on	
		Which was not published in English		
		This application claims priority of provisi	ional application Seria	al No. Filed
	18. CORRESPONDENCE ADDRESS			
ı			228	50

Name: Gregory J. Maier Registration No.: 25,599 Signature: Date: 12-22-00 Name: Surinder Sachar Registration No.: 34,423

(703) 413-3000 FACSIMILE: (703) 413-2220 FILING DATE: Herewith

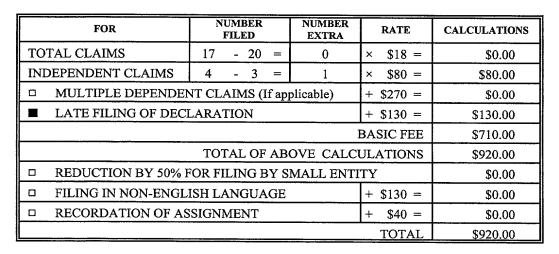
New Application

FOR:

ARRAYED WAVEGUIDE GRATING TYPE OPTICAL...

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231



Please charge Deposit Account No. 15-0030 in the amount of A duplicate copy of this sheet is enclosed.

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Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 10/00)

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,

MAIER & NEUSTADT, P.C.

Gregory J. Maier

Registration No.

25,599

Surinder Sachar

Registration No.

34,423

Docket No.

198334US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

➡IN RE APPLICATION OF: Kazuhisa KASHIHARA ET AL

FILING DATE: Herewith

FOR:

ARRAYED WAVEGUIDE GRATING TYPE OPTICAL...

LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR:

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Listed below are the names and addresses of the inventors for the above-identified patent application.

Kazuhisa KASHIHARA Chiyoda-ku, Tokyo, Japan

Kazutaka NARA Chiyoda-ku, Tokyo, Japan

A declaration containing all the necessary information will be submitted at a later date.

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 11/98) Respectfully Submitted,

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